

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IFW

Applicants: Thomas M. DiMauro, Mohamed Attawia, Hassan Serhan, Martin A. Reynolds,
Melissa Grace, Sudhakar Kadiyala, David Urbahns, Scott Bruder,
Gregory Collins, Laura J. Brown, Jeffrey Geesin, Pamela L. Plouhar,
Catherine W. Smith and John Siekierka

Application No.: 10/630,227

Group Art Unit: 1647

Filed: July 30, 2003

Examiner: Lee, Betty L.

Confirmation No.: 8291

Title: TRANS-CAPSULAR ADMINISTRATION OF HIGH SPECIFICITY
CYTOKINE INHIBITORS INTO ORTHOPEDIC JOINTS

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
10-11-05	<i>Jennifer Torpey</i>
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Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or

(First/Second submission after Final Rejection)

☒ under 37 CFR 1.97(b), or

(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

☐ under 37 CFR 1.97(c) together with either:

☐ a Statement under 37 CFR 1.97(e), as checked below, or

☐ a \$180.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:

☐ a Statement under 37 CFR 1.97(e), as checked below, and

☐ a \$180.00 fee under 37 CFR 1.17(p), or

(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

☐ under 37 CFR 1.97(i):

Applicant requests that the IDS and cited reference(s) be placed in the application file.

(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
 - ☒ Copies of the cited references, B1-B14 and C1-C38, are enclosed.
 - ☒ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
 - ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
 - ☒ The listed references, A17-A20, B9, B11-B14, C37 and C38, were cited in the enclosed International Search Report in a counterpart foreign application.
 - ☐ The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ submission of the enclosed International Search Report.
 - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
 - ☐ the enclosed English language abstract.

[X] Applicant requests that the following pending applications be considered:

Examiner's
Initials

- U.S. Patent Application No. 10/456,948, by Thomas M. DiMauro, Hassan Serhan, Mohamed Attawia, Melissa Grace, Sudhakar Kadiyala, David Urbahns, Scott Bruder, Gregory Collins and John Siekierka, filed June 6, 2003, Docket No.: 3518.1012-001.
- U.S. Patent Application No. 10/610,355, by Hassan Serhan, Thomas M. DiMauro, Mohamed Attawia, Melissa Grace, Sudhakar Kadiyala, David Urbahns, Scott Bruder and Gregory Collins, filed June 30, 2003, Docket No.: 3518.1012-002.
- U.S. Patent Application No. 10/631,487, by Thomas M. DiMauro, Hassan Serhan,, Mohamed Attawia, Melissa Grace, Sudhakar Kadiyala, David Urbahns, Scott Bruder, Gregory Collins, Laura J. Brown, Jeff Geesin, Pam Plouhar, Catherine Smith and John Siekierka, filed July 31, 2003, Docket No.: 3518.1012-003.
- U.S. Patent Application No. 10/714,594, by Mohamed Attawia, Hassan Serhan, Thomas M. DiMauro, Melissa Grace and David Urbahns, filed November 14, 2003, Docket No.: 3518.1012-005.
- U.S. Patent Application No. 10/640,412, by Mohamed Attawia, Thomas M. DiMauro, Hassan Serhan, Melissa Grace, Sudhakar Kadiyala, David Urbahns, Scott Bruder, Gregory Collins, Laura J. Brown, Jeff Geesin, Pamela L. Plouhar, Catherine Smith and John Siekierka, filed August 13, 2003, Docket No.: 3518.1019-000.
- U.S. Patent Application No. 10/723,250, by Thomas M. DiMauro, Mohamed Attawia, Hassan Serhan, Melissa Grace, Michael Slivka, Thomas G. Ferro, Vivek N. Shenoy, Alonzo D. Cook, and Scott Bruder, filed November 26, 2003, Docket No.: 3518.1024-000.

Examiner

Date

- [X] A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.
- [] A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Deirdre E. Sanders
Deirdre E. Sanders
Registration No.: 42,122
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: October 11, 2005

PTO-1449 REPRODUCED

ATTORNEY DOCKET NO.
3518.1015-000APPLICATION NO.
10/630,227INFORMATION DISCLOSURE CITATION
IN AN APPLICATIONFIRST NAMED INVENTOR
Thomas M. DiMauroFILING DATE
July 30, 2003

October 11, 2005

(Use several sheets if necessary)

EXAMINER
Lee, Betty L.CONFIRMATION NO.
8291GROUP
1647

U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	A1	2003/0039651 A1	02-27-2003	Olmaker
	A2	6,419,944 B2	07-16-2002	Tobinick
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	A5	5,095,037	03-10-1992	Iwamitsu <i>et al.</i>
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	A20	4,427,649	01-24-1984	Dingle <i>et al.</i>
	A20	5,656,272	08-12-1997	Le <i>et al.</i>

EXAMINER

DATE CONSIDERED

PTO-1449 REPRODUCED INFORMATION DISCLOSURE CITATION IN AN APPLICATION October 11, 2005 (Use several sheets if necessary)	ATTORNEY DOCKET NO. 3518.1015-000		APPLICATION NO. 10/630,227	
	FIRST NAMED INVENTOR Thomas M. DiMauro		FILING DATE July 30, 2003	
	EXAMINER Lee, Betty L.		CONFIRMATION NO. 8291	GROUP 1647

FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER Country Code-Number-Kind Code (if known)	DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION YES NO	
	B1	EP 1153607 A2	11-14-2001	Dunn		
	B2	WO 03/000190 A2	01-03-2003	DePuy		
	B3	WO 02/057240 A1	07-25-2002	Ortho Mc Neil Pharmaceutical, Inc.		
	B4	WO 91/02078	02-21-1991	Peptide Technology LTD		
	B5	EP 0 218 868 A2	04-22-1987	New York Blood Center, Inc.		
	B6	EP 0 288 088 B1	10-26-1988	Teijin Limited		
	B7	WO 92/07076	04-30-1992	The Charing Cross Sunley Research Centre		
	B8	WO 2004/022078 A1	03-18-2004	The Royal Veterinary College		
	B9	WO 97/28828	08-14-1997	Amgen Boulder Inc.		
	B10	WO 92/16553	10-01-1992	New York University		
	B11	WO 98/24477	06-11-1998	Amgen Inc.		
	B12	EP 1 133 995 A2	09-19-2001	Synergen, Inc.		
	B13	WO 01/85179 A2	11-15-2001	Clemson University		
	B14	EP 0 438 234 A1	07-24-1991	Kita		

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
C1	Braun, J. and Sieper J., "Overview of The Use of The Anti-TNF Agent Infliximab in Chronic Inflammatory Diseases," <i>Expert Opin. Biol. Ther.</i> 3(1):141-168 (2003).	
C2	Braun, J., <i>et al.</i> , "Anti-Tumour Necrosis Factor α Therapy for Ankylosing Spondylitis: International Experience," <i>Ann. Rheum. Dis.</i> , 61(Supp. III):iii51-iii60 (2002).	
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C4	Aoki, Y., <i>et al.</i> , "Local Application of Disc-Related Cytokines on Spinal Nerve Roots," <i>Spine</i> 27(15): 1614-1617 (2002).	
C9	Tobinick, E.L. and Britschgi-Davoodifar, S., "Perispinal TNF-Alpha Inhibition for Discogenic Pain," <i>Swiss Med. Weekly</i> , 133:170-77 (2003).	
C6	Maeda, S. and Kokubun, S., "Changes With Age in Proteoglycan Synthesis in Cells Cultured <i>In Vitro</i> From the Inner and Outer Rabbit Annulus Fibrosus," <i>Spine</i> , 25(2):166-169 (2000).	
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C10	Gordon, J.L., <i>et al.</i> , "Metalloproteinase Inhibitors as Therapeutics," <i>Clin. Exp. Rheumatol.</i> , 11(Supp 8): S91-S94 (1993).	
C11	Johnson, W.H., <i>et al.</i> , "Collagenase Inhibitors: Their Design and Potential Therapeutic Use," <i>J. Enzyme Inhib.</i> , 2:1-22 (1987).	
C12	Möller, A. <i>et al.</i> , "Monoclonal Antibodies to Human Tumor Necrosis Factor α : In Vitro and In Vivo Application," <i>Cytokine</i> 2(3):162-169 (1990).	

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

C13	Liang, C.-M., <i>et al.</i> , "Production and Characterization of Monoclonal Antibodies Against Recombinant Human Tumor Necrosis Factor/Cachectin," <i>Biochem. Biophys. Res. Comm.</i> 137:847-854 (1986).
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C15	Fendly <i>et al.</i> , "Murine Monoclonal Antibodies Defining Neutralizing Epitopes on Tumor Necrosis Factor," <i>Hybridoma</i> 6:359-370 (1987).
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C18	Schall, T., <i>et al.</i> , "Molecular Cloning and Expression of a Receptor for Human Tumor Necrosis Factor," <i>Cell</i> , 61:361-370 (1990).
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C21	Engelmann, H. <i>et al.</i> , "Two Tumor Necrosis Factor-Binding Proteins Purified From Human Urine," <i>J. Biol. Chem.</i> , 265(3):1531-1536 (1990).
C22	Lesslauer, W., <i>et al.</i> , "Recombinant Soluble Tumor Necrosis Factor Receptor Proteins Protect Mice from Lipopolysaccharide-Induced Lethality," <i>Eur. J. Immunol.</i> , 21:2883-2886 (1991).
C23	Ashkenazi, A., <i>et al.</i> , "Protection Against Endotoxic Shock by a Tumor Necrosis Factor Receptor Immunoadhesin," <i>Proc. Natl. Acad. Sci. USA</i> 88:10535-10539 (1991).
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C25	Capon, D., <i>et al.</i> , "Designing CD4 Immunoadhesins for AIDS Therapy," <i>Nature</i> , 337:525-531 (1989).	
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C27	Butler, D., <i>et al.</i> , "TNF Receptor Fusion Proteins are Effective Inhibitors of TNF-Mediated Cytotoxicity on Human KYM-1D4 Rhabdomyosarcoma Cells," <i>Cytokine</i> , 6(6):616-623 (1994).	
C28	Baker, D., <i>et al.</i> , "Control of Established Experimental Allergic Encephalomyelitis by Inhibition of Tumor Necrosis Factor (TNF) Activity within the Central Nervous System using Monoclonal Antibodies and TNF Receptor-Immunoglobulin Fusion Proteins," <i>Eur. J. Immunol.</i> , 24:2040-2048 (1994).	
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C30	Pargellis, C., <i>et al.</i> , "Inhibition of p38 MAP Kinase by Utilizing a Novel Allosteric Binding Site," <i>Nature Structural Biology</i> , 9(4): 268-272 (2002).	
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C32	Cirillo, P., <i>et al.</i> , "The Non-Diaryl Heterocycle Classes of p38 MAP Kinase Inhibitors," <i>Current Topics in Medicinal Chemistry</i> , 2:1021-1035 (2002).	
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C34	Lee <i>et al.</i> , "Inhibition of p38 MAP Kinase as a Therapeutic Strategy," <i>Immunopharmacology</i> , 47:185-2001 (2000).	
C35	Brown, K., <i>et al.</i> , "Gelatin/Chondroitin 6-Sulfate Microspheres for the Delivery of Therapeutic Proteins to the Joint," <i>Arthritis. Rheum.</i> , 41(12):2185-2195 (1998).	
C36	Burke, J.G., <i>et al.</i> , "Intervertebral Discs Which Cause Low Back Pain Secrete High Levels of Proinflammatory Mediators," <i>JBJS</i> , 84-B(2):196-201 (2002).	

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	C37	Gabay, C., "IL-1 Trap," <i>Curr. Opin. Invest. Drugs, Curr. Drugs</i> , London, GB, 4(5):593-597 (2003).
	C38	Dayer, J.M., "The Pivotal Role of Interleukin-1 in the Clinical Manifestations of Rheumatoid Arthritis," <i>Rheumatology, Oxford Univeristy Press</i> , London, GB, 42(Suppl 2):ii03-ii10 (2003).

EXAMINER	DATE CONSIDERED
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